

California Emergency Management Agency

Public Safety and Victim Services Programs 3650 SCHRIEVER AVENUE MATHER, CALIFORNIA 95655 (916) 324-9200 FAX: (916) 323-1756



February 10, 2010

Bill Baker Chief Assistant District Attorney Contra Costa County District Attorney's Office 900 Ward St., 4th Floor Martinez, CA 94553

Re: Site Visit – Vertical Prosecution Career Criminal/Child Abuse Prosecution Block Grant (VB08060070)

Dear Mr. Baker:

As designee as the Project Director, it is my privilege to inform you that pursuant to the terms of the above-entitled state grant and the conditions outlined in the California Emergency Management Agency (Cal EMA) *Recipient Handbook*, Cal EMA is required to conduct an on-site visit of its grant-funded recipients.

The purpose of a site visit is for Cal EMA to formulate a reasonable assessment of your current grant project conditions; and if necessary, to provide technical assistance that will ensure compliance with applicable Program Guidelines contained in the grant application request. On-site visits typically last three hours, and are prearranged for the convenience of all participating parties. The purpose of this site visit is to accomplish the following:

- 1. Meet with staff participating on this grant.
- 2. Review project objectives to determine if they are achievable and comply with program objectives.
- 3. Review project activities to determine if they will reasonably result in achievement of the program objectives.
- 4. Review the project's source documentation and data collection process.
- 5. Review the project's Report of Expenditures and Request for Funds (Cal EMA 2-201), to determine whether any adjustment is needed.
- 6. Review the Progress Reports to determine if they are complete and accurate.
- 7. Review programmatic and administrative requirements of the program.
- 8. Determine the operational status of the project.
- 9. Determine if the appropriate Equal Opportunity policies are in place.

Mr. Brian Baker February 10, 2010 Page Two

To assist with facilitating the objectives of this site visit, please have all administrative and financial records available at the facility location for my review. In addition, please have all pertinent Vertical Prosecution Grant source documentation available at the facility location for my review. These records will be reviewed in order to substantiate information reported in the quarterly Progress Report.

I anticipate conducting the site visit on Tuesday, March 9, 2010 at 0900 AM at 900 Ward Street, 4th Floor, Martinez, CA 94553. I understand Ms. Elizabeth Molera is coordinating with members of your staff to arrange for this site visit.

I will follow up this letter with a telephone call to your office on the March 1, 2010 to confirm the date and time of the site visit. Enclosed for your review please find a copy of the Site Visit Report form that I will use when conducting my visit.

If you have any questions about this process, please feel comfortable contacting me at (916) 324-9150 or via email at roman.alvarez@calema.ca.gov. Thank you in advance for your assistance in this matter. I look forward to meeting with you and your staff.

Sincerely,

Roman Alvarez

Criminal Justice Specialist

Public Safety and Victim Services Division

Enclosure

c: Cal EMA Grants Control File



California Emergency Management Agency

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March 17, 2010

Bill Baker Chief Assistant District Attorney Contra Costa County District Attorney's Office 900 Ward Street, 4TH Floor Martinez, CA'94553

Re: March 9, 2010 VERTICAL PROSECUTION SITE VISIT OF PROJECT - VB08060070

Dear Mr. Baker:

The following provides a brief overview of the prearranged mandatory site visit conducted recently of the Contra Costa County District Attorney's Office Vertical Prosecution (VB) Grant Program. Enclosed for your records is a copy of the Cal EMA Performance Assessment/Site Visit Report.

Representing your office during this site visit included Deputy District Attorney Dara Cashman, Administrative Services Officer Cherie Mathisen, and Administrative Services Assistant III Elizabeth A. Molera.

As Project Director for the Vertical Prosecution Grant, you will be pleased to note that my interview of your staff, who spoke succinctly from the grant's programmatic perspective, and those who addressed the fiscal side for your project, were well-informed in regards to their respective areas of oversight.

Each representative expressed a clear understanding of (1) Vertical Prosecution goals and objectives; (2) the importance of reporting substantive program data in the quarterly progress reports; (3) tracking grant award funds; and (4) the proper application and submission of California Emergency Management Agency (Cal EMA) Accounting-related forms.

The interview of Ms. Cashman was particularly enlightening. Ms. Cashman spoke candidly about the success and accomplishments that the Vertical Prosecution staff has provided to the citizens of Contra Costa County. Additionally, Ms. Mathisen and Ms. Molera described their financial tracking information systems and their professional working relationships with the VB staff members. By all indication, Ms. Cashman's role as Routine Programmatic Personnel is an asset to the county's Vertical Prosecution achievement, and its ability to fulfill the Cal EMA Vertical Prosecution program objectives.

Mr. Bill Baker March 17, 2010 Page Two

Most profound was the apparent commitment demonstrated by the Vertical Prosecution staff and their efforts towards the prosecution of both Career Criminals and Child Abuse offenders.

Additionally, the above Vertical Prosecution staff proved to be more than responsive advocates for the grant, and fulfilling its legislative intent as outlined in the Request for Application.

In closing, it is my judgment that the Contra Costa County District Attorney's Office, Vertical Prosecution Block Grant Program is a well administered project, and whose standing is in grant compliance.

Roman Alvarez
Criminal Justice Specialist
Public Safety and Victim Services Division

Enclosure

cc: Dara Cashman

VB08060070 Program Main File

GRANT AWARD NUMBER: VB08060070

DATE OF SITE VISIT: March 9, 2010

GRANT PERIOD:

July 1, 2008 to June 30, 2010

RECIPIENT/IMPLEMENTING AGENCY:

County of Contra Costa/Contra Costa County District Attorney's Office

PROJECT DIRECTOR:

Chief Assistant District Attorney Brian Baker

PERSONS INTERVIEWED DURING SITE VISIT:

NAME

TITLE

AGENCY

Dara Cashman

Deputy District Attorney

Contra Costa County

Cherie Mathisen

Admin Services Officer

Contra Costa County

Elizabeth A. Molera

Admin Services Asst III

Contra Costa County

Signature of Program Specialist

Date

Signature of Section Chief

Date

. ADN	MINISTRATIVE REVIEW			
DOCUM	ENTS	YES OPERATIONAL	<u>NO</u>	<u>N/A</u>
	view hard copy/verify the ability to access on line: The Cal EMA Recipient Handbook (R.H.) The Approved Grant Award Agreement The RFA/RFP (supersedes the requirement of the R.H.) The Program Guidelines (supersedes the requirement of the R.H.) Is the project familiar with Office of Management and Budget, OMB Circulars which govern your organization? Circulars may be found at www.whitehouse.gov/omb/circulars.			
Com	nments: The program showed that they were aware of the above guidelines and the	ir requirements of	each docu	ment.
	FIDELTY BOND - COMMUNITY BASED ORGANIZATION (CBO) & AMERIC	CAN INDIAN ORG	ANIZATIO	NS ONLY
•	Obtain copy of required CBO bonding? [R.H. Section 2161] Does not apply to state, city, or county units of government.			
•	Does the bond show: O Bonding company name O Bond number O Description of coverage O Amount of coverage (50% of allocation) O Bond period O Grant award number O Bond include Form A (Employee Dishonesty) and Form B (Forgery Coverage)? O Is Cal EMA named on the bond as the beneficiary?			
Con	nments: N/A 2. ORGANIZATIONAL CHART			
	Review the organizational chart. Are all budgeted positions identified?	\boxtimes		
Con	nments: The program was prepared to provide an updated copy of their programs o	organizational char	t.	
•	3. Cal EMA MODIFICATION (Cal EMA 2-223) Review the purpose/preparation of Grant Award Modification (Cal EMA 2-223). [R. H. Section 7500] (Instruct the project staff on the procedure to obtain the most recent forms from Cal EMA website.) A modification is needed for the following:			
	 Change in key personnel Adding/changing additional signers Change goals/objectives, or activities Address change Other 			

Comments: The program had recently submitted a Modification for budget modifications. The modification was reviewed and the Cal EMA process was explained. The program appeared to have a good working knowledge of the requirements for modifications.

ADMINISTRATIVE REVIEW (Continued)			
	YES	NO	N/A
4. PERSONNEL POLICIES			
 Does the project staff have access to written personnel policies as required? [R. H. Section 2130] 	\boxtimes		
p.a.v.a coolean 2.ccs			
 Do policies include: Maintenance of personnel files for all paid and volunteer staff including 	\boxtimes		
job applications, salaries, benefits, and current job duties/descriptions O A current Drug Free Workplace policy statement on file signed by the	\boxtimes		
employee? [R. H. Section 2152] o Work hours			
Compensation ratesOvertime	\boxtimes		
Did the Board approve the agency's current personnel policy?	\boxtimes		
comments: The program was prepared to provide Cal EMA staff with copies of their Perolicies are available for employee review on the Contra Costa County website and through the program informed me that each employee is given a EEO packet at the time of their	ugh the Departr	and confirme nent Intranet.	ed that all Additional
5. FUNCTIONAL TIMESHEETS			
 Does the project use functional timesheets for each grant funded position less than 1 FTE? OR Time Study Allocation plan updated within the last 2 years? [R. H. Section 11331] 			
 Are timesheets (paid staff & volunteer) signed by staff & approved by supervisor? (Review timesheets to ensure they are signed by the staff and supervisor) 	\boxtimes		
Comments: It was brought to the attention of the programs staff that the programs fund what funding source that the Grant Personnel are working and are being paid from.	tional timesheet	s, should spe	cify in detail
6. DUTIES OF FINANCIAL OFFICER AND BOOKKEEPER			
Are the duties of the financial officer and the bookkeeper separate to ensure no	\boxtimes		
one person has complete authority over a financial transaction? O Name of individual who approves purchases. Cherie Mathisen-Adm Services Officer			
o Name of individual who writes checks. Contra Costa Auditors Department			
Name of individual(s) who signs checks. <u>Steven Ybarra</u> ,			
Comments: None			
	YES	NO	N/A
7. SOURCE DOCUMENTATION-Fiscal [R. H. Section 11000]			
 Does the project maintain a record-keeping system which will accurately support costs claimed on Report of Expenditure and Request for Funds (Cal EMA Form 2 	-		
 201)? Does the project maintain an accurate inventory log of equipment purchased with grant funds? 			\boxtimes

Comments: The program informed Cal EMA staff that the grant funds are not designated to purchase equipment and no equipment has been purchased with Grant funding.

8. PROJECT EXPENDITURES Is the project's expenditure rate commensurate with the elapsed period of the Are the project's expenditures being made in accordance with the terms of the **Grant Award Agreement?** Does the project need to submit a Grant Award Modification Request (Cal EMA Form 2-223)? Is the project up-to-date with the submission of Cal EMA Form 2-201? Comments: Cal EMA staff provided the programs staff a current Cal EMA Budget Summary Report and a Cal EMA Ledgar Report, which reflected the programs current balance, and paid 201's. Currently and past history reflects the program to be in compliance with the submittal of 201's and Modifications. 9. MATCH REQUIREMENTS Does the project have a match requirement? Is the project meeting the match requirement? Review the supporting documentation to substantiate cash or in-kind match. Comments: N/A 10. EEO POLICY X Review and complete EEO checklist. (Separate document)

Comments: The program provided copies of the required EEO Policies and were forwarded to Cal EMA EEO Department for review.

B.	PROGRAMMATIC REVIEW			
		YES	<u>NO</u>	N/A
GE	NERAL			
	PROGRAM GOALS AND OBJECTIVES			
	 Review the goals and objectives of the program and the programmatic requirements of the Grant Award Agreement. Is the project meeting the program goals and objectives? 			
	 Does the project staff need to submit Cal EMA Form 2-223 to modify their grant objectives? 			
	Comments: The program at this time does not anticipate submitting a modification to component and allocate component.			
	2. PROGRESS REPORT			
	 Discuss and review the programmatic Progress Report requirements. 			
	Comments: Cal EMA staff reviewed the programs most recent Progress Report and re information be provided that highlights the success or challenges that the program is ex			
	3. SOURCE DOCUMENTATION-Programmatic			
	 Is the project maintaining a record keeping and data collection process that will accurately support the project's reported data on the Progress Report form? Review the project's file system and data collection process. 	\boxtimes		
	Comments: The program informed Cal EMA staff that the Contra Costa County anticip System, installed as of June 2010, which will allow for a automated system of record key manual written documents, and computer generated spread sheets to document project	eping. Currently,	Case Mana the program	gement is keeping
	4. OPERATIONAL AGREEMENTS			
	 Does the project have current Operational Agreements as required by the Grant Award Agreement? 		\boxtimes	
	Comments: The program informed Cal EMA staff that at this time the Vertical Prosecut outside agencies. It was suggested by Cal EMA staff that MOU's/OA's should be considered working relationship with allied agencies.	ion program has i dered in order to n	no MOU's/OA naintain a po	A's with any sitive
	5. PROJECT STAFF DUTIES			
	 Interview project staff and discuss their duties and the relationship to the grant. Are employees performing duties as stated in the Grant Award Agreement? 			
	Comments: It is apparent that the staff of the Contra Costa County Vertical Prosecution the success and commitment shown by the interviewed staff.	n are committed a	nd knowledg	able with
	ADDITIONAL COMMENTO			
<u>C.</u>	ADDITIONAL COMMENTS:			

NOTES:

California Emergency Management Agency

EEO CHECKLIST - B

For Federally Funded CBOs and All State Funded Recipients (Monitoring/Site Visits)

RECIPIENTS:	Contr	ra Costa County	
IMPLEMENTING AGENCY:		District Attorney	
GRANT#:	VB080600	070	
FEDERAL \$:			
STATE \$:	835,144		
CONTACT PERSON AT SITE: Cherie Mathisen			
PHONE #:	(925) 9	957–2234	
EMAIL ADDRESS:cmathisen@contraco		sen@contracostada.org	

State funded recipients, Community Based Organizations (CBOs), Indian Tribes and Educational/Medical Institutions are exempt from the U.S. Department of Justice requirement of developing an EEOP. CBOs however are monitored by the U.S. Department of Health and Human Services in EEO compliance matters.

All California Emergency Management Agency (CalEMA) recipients, regardless of the type of entity or the amount awarded, are subject to the prohibitions against discrimination in any program or activity and may be required by CalEMA or the U.S. Department of Justice, through selected compliance reviews, to submit data to ensure their services are delivered in an equitable manner to all segments of the service population and their employment practices comply with civil rights requirements.

The following is to assure that CalEMA recipients receiving State and Federal financial assistance are in compliance with civil rights requirements. Please verify that the following EEO documents are available at the site/monitoring visit. If they are not available, please note on this checklist and forward to the EEO Office.

1/8/10-SENT TO LISA ABILA

□ 1.	EEO POLICY - A current Equal Employment Opportunity Policy Statement. The statement should specifically state that the agency is an equal opportunity employer and does not discriminate on the basis of race, color, religious creed, ancestry, national origin, age, sex (including pregnancy, childbirth or related medical conditions), marital status, sexual orientation (heterosexuality, homosexuality and bisexuality), medical condition (cancer and genetic characteristics), or disability (medical and physical, including HIV and AIDS), and denial of family medical care leave and pregnancy leave. Additionally, this policy must also apply to deliveries of services to clients and volunteers. This policy must be posted in a prominent place accessible to employees, applicants and clients.		
	YES (Request a copy of the policy and indicate if has been issued to staff.)		
	NO (Provide attachment 1B)		
□ 2.	SEXUAL HARASSMENT POLICY - A current policy specifically stating all employees have a right to work in an environment free from all forms of discrimination, including sexual harassment, retaliation and hostile work environment.		
	YESx (Request a copy of the policy) NO (Provide attachment 2B)		
□ 3.	DISCRIMINATION COMPLAINT PROCEDURE - Has the recipient adopted a discrimination complaint procedure for filing complaints, both for their employees, volunteers and clients?		
	YESx (Request a copy of the procedure) NO (Provide attachment 3B)		
□ 4.	NONDISCRIMINATION POSTER - The CA Department of Fair Employment and Housing (DFEH) poster entitled "Harassment or Discrimination in Employment is Prohibited by Law" must be posted in a conspicuous location accessible to employees and applicants for employment.		
	YES NO (Provide attachment 4A)		
□ 5.	PUBLICATIONS – Does the recruitment materials or publications include a policy statement of nondiscrimination for participants, beneficiaries, applicants, or employees?		
	YES (Request a copy of the document) NO		
□ 6.	COORDINATOR - Has the recipient identified a person responsible for coordinating complaints?		
	NAME: Cherie Mathisen TITLE: Administrative Services Officer		
	PHONE#: (925) 957-2234 EMAIL: cmathisen@contracostada.org		
□ 7.	FINDINGS OF DISCRIMINATION — Has the agency had any findings of discrimination issued in the last five years by the Agency, Federal/State Court, or Federal/State administrative agency (i.e. Equal Employment Opportunity Commission (EEOC), California Department of Fair Employment and Housing (DFEH), etc.).		
	YES NO _x		

□ 8.	ALLEGATIONS OF DISCRIMINATION – Has the agency been made aware of any current allegations of discrimination within the (last 2 years) originating from an employee, volunteer or client?		
	YES	NOx	
□ 9.	DISSEMINATION of the Equal Employee Opp Opportunity Policy - A plan to disseminate the volunteers, clients and to the general public.	ortunity Plan and the Equal Employment EEO Plan and the EEO Policy to all employees,	
	YESx (Request a copy)	NO (provide attachment 10A)	
□ 10	□ 10. LIMITED ENGLISH PROFICIENCY (LEP)* – Has the recipient taken reasonable steps to ensure meaningful access to their programs, services, and information on the services the recipient provides, free of charge? Additionally, has the recipient established and implemented policies and procedures for language assistance services that provide LEP persons with meaningful access, i.e. oral interpretation services, bilingual staff, telephone interpreter lines, written language services, community volunteers, etc. YES (Request a copy) NO (provide attachment 11A)		

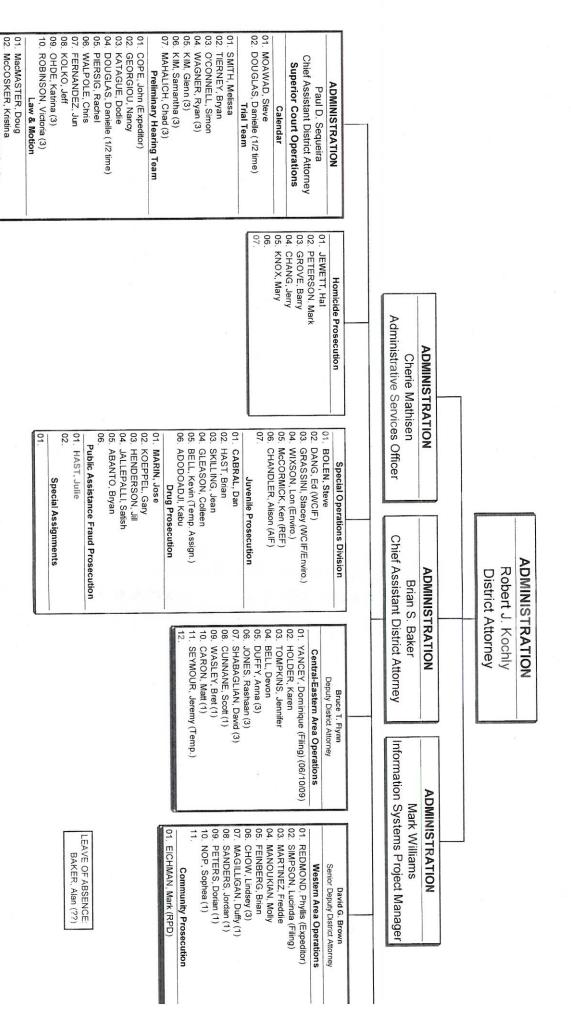
^{*}Persons who do not speak English as their primary language and who have limited ability to read, speak, write, or understand English can be limited English proficient (LEP).

I hereby certify this EEOP Chec knowledge.	klist is accurate and complete to the best of my	
PROGRAM SPECIALIST NAME:	Toron	
SPECIALIST PHONE NUMBER:	916-324-9150	
DATE: 3 18/10		
COMMENTS:		
	6	
Upon completion, please send a copy of this checklist to Lisa Abila, EEO Compliance Officer, CalEMA Headquarters.		

(1/2/09)

CONTRA COSTA COUNTY DISTRICT ATTORNEY

Organization Chart - March 1, 2010



01.

KENSOK, Tom

SVP/Mental Health Litigation

TAVENIER, Andrea (Temp. Assign.)

Gang Prosecution

BUTTS, Derek

DeFERRARI, Aron